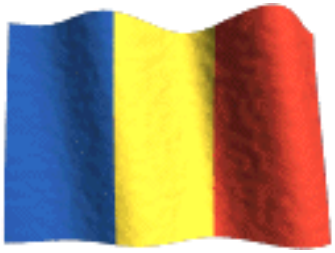


REPUBLIC OF MOLDOVA

CORRUPTION RISK ASSESSMENT

NATIONAL ANTICORRUPTION CENTER

LEGAL FRAME

- Law on public internal financial control, no. 229 of September 23, 2010
- National standards for internal control in the public sector, approved by Order of Ministry of Finance no. 51 of 23 June, 2009
- Methodology for corruption risks assessment in public authorities and institutions, approved by Government Decision no. 609 of 28 July, 2008

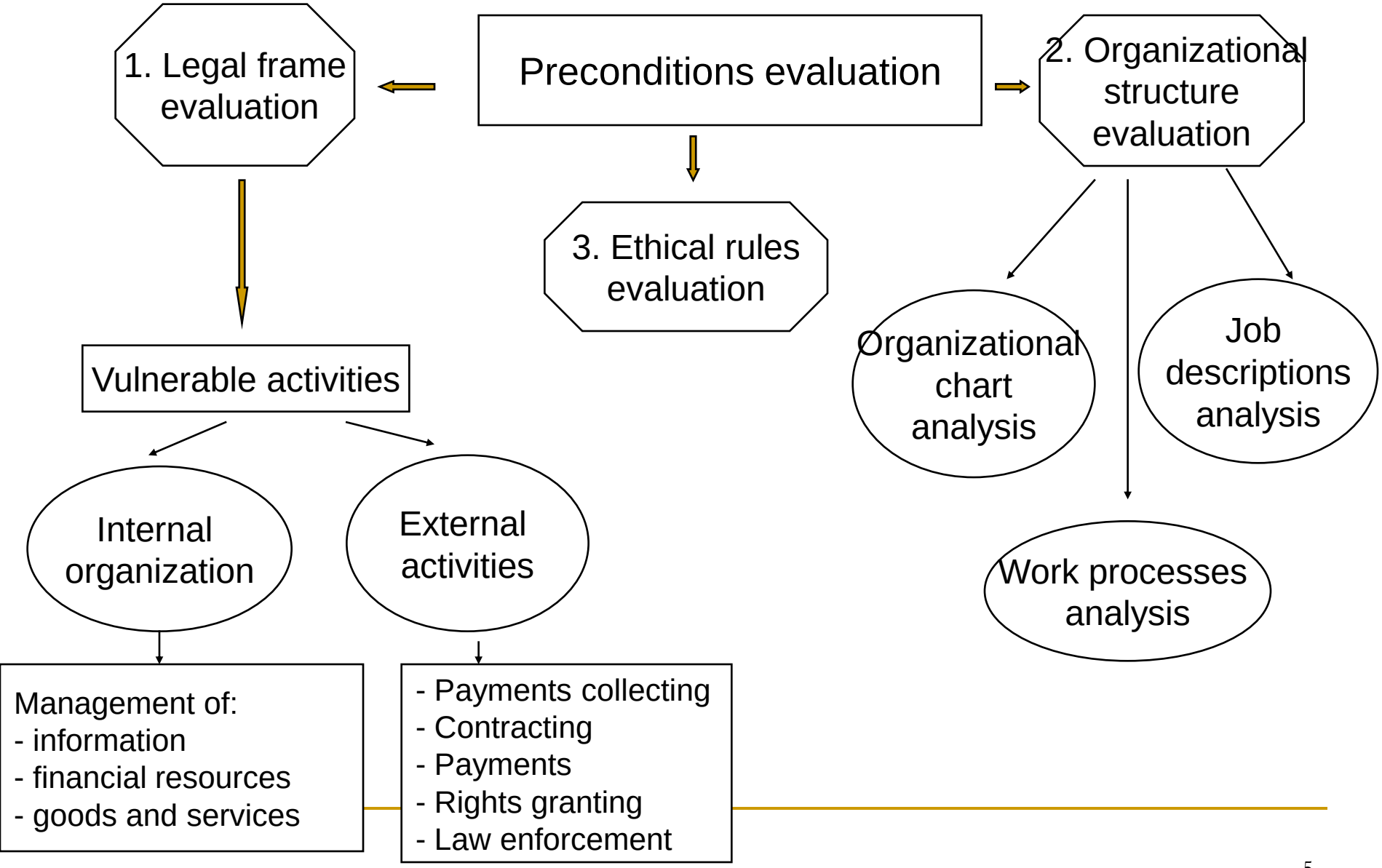
NATIONAL ANTICORRUPTION CENTER'S EMPLOYEE COMPETENCES

- SELECTS THE INSTITUTION
- TRAINS THE LEADING POSITIONS PERSONNEL
- MONITORS THE RISK ASSESSMENT ACTIVITY
- PROVIDES RECOMMENDATIONS
- ATTENDS THE MEETINGS OF THE EVALUATION GROUP
- COORDINATES THE DEVELOPMENT AND EXECUTION OF THE INTEGRITY PLAN

PHASES OF CORRUPTION RISK ASSESSMENT PROCESS

- **PRECONDITIONS EVALUATION**
- **CORRUPTION RISKS ASSESSMENT**
- **DEVELOPMENT OF RECOMMENDATIONS/ INTEGRITY PLAN**

PHASE I.


Preconditions evaluation
1. Legal frame evaluation
2. Organizational structure evaluation
3. Ethical rules evaluation
Vulnerable activities
Internal organization
External activities
Organizational chart analysis
Job descriptions analysis
Work processes analysis
Management of:
- information
- financial resources
- goods and services
- Payments collecting
- Contracting
- Payments
- Rights granting
- Law enforcement

PHASE II. The assessment itself

1. Research and identify risks

Evaluate the resistance of the institution toward corruption risks

Public relations of the institution

Conduct the survey

Analyze specific cases of corruption

2. Analyze the risks

Prioritization of risks

MODEL REPORT ON THE CORRUPTION RISKS INSTITUTIONAL RESISTANCE

ISSUES	PROBLEMS	RISKS	SOLUTIONS
Example: **SPECIFIC REGULATIONS FOR MANAGING CONFIDENTIAL INFORMATION**	**LACK, UNAWARENESS OR LACK OF ENFORCEMENT OF REGULATIONS**	**THE STAGE SET AGAINST INFORMATION LEAKAGE IS TOO LOW**	**RULEMAKING, DISTRIBUTING THEM, IMPOSING SANCTIONS**

RISK ASSESSMENT

RISK VALUE = IMPACT X PROBABILITY

(Range: 1 to 3. Maximum value: 3 x 3 = 9)

IMPACT	HIGH “3”	MITIGATION / CONTROL	MITIGATION	MITIGATION
	MEDIUM “2”	TOLERATION “	MITIGATION / CONTROL	MITIGATION
	LOW “1”	TOLERATION	TOLERATION	MITIGATION / CONTROL
		RARE RISK “1”	POSSIBLE RISK “2”	PRECISE RISK “3”
	PROBABILITY			

RISK ANALYSIS

CLASSIFICATION: HIGH/ MEDIUM/ LOW

- HIGH RISK RATE (PRODUCT 6 AND 9)
- MEDIUM RISK RATE (PRODUCT 3 AND 4)
- MINOR RISK RATE (PRODUCT 1 AND 2)

- MITIGATION
- MONITORING /MITIGATION
- TOLERATION

PHASE III.
RECOMMENDATIONS DEVELOPMENT

- RISK ASSESSMENT REPORT
- INTEGRITY PLAN

CORRUPTION RISKS
REASSESSMENT

MONITORING
(ASSESSING THE APPLICABILITY OF THE METHODOLOGY BY QUESTIONING THE PUBLIC ENTITIES)

- **EXPLICIT PROVISIONS**:

  YES – 100% NO – 0%

- **APLICABILITY OF THE RULES**:

  APLICABLE – 73% PARTIALLY APLICABLE – 27%

- **THE NEED TO IMPROVE THE LEGAL ACT**:

  YES – 40% NO – 60%

Thank you

for your attention!